

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
3 July 2003 (03.07.2003)

PCT

(10) International Publication Number
WO 03/054693 A1

(51) International Patent Classification⁷: **G06F 9/38, 12/02**

(21) International Application Number: **PCT/SE01/02741**

(22) International Filing Date:
12 December 2001 (12.12.2001)

(25) Filing Language: English

(26) Publication Language: English

(71) Applicant (for all designated States except US): **TELEFONAKTIEBOLAGET L M ERICSSON (PUBL)**
[SE/SE]; S-126 25 Stockholm (SE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **WIDELL, Anders**
[SE/SE]; Skogstorpsvägen 6, S-141 43 Huddinge (SE).
HOLMBERG, Per [SE/SE]; Flinthecken 18, S-118
42 Stockholm (SE). **DAHLSTRÖM, Marcus** [SE/SE];
Lammholmsbacken 185, S-143 47 Värby (SE).

(74) Agent: **DR LUDWIG BRANN PATENTBYRÅ AB**; P.O.
Box 171 92, S-104 62 Stockholm (SE).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

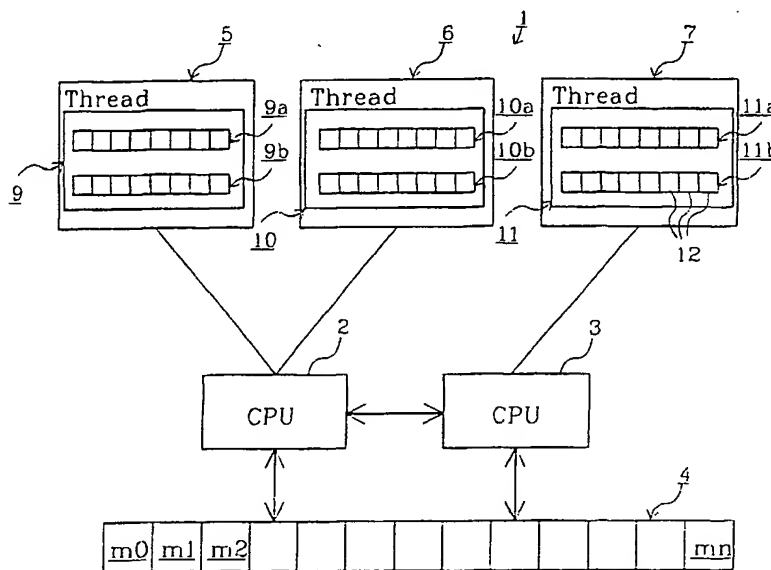
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: **COLLISION HANDLING APPARATUS AND METHOD**



(57) Abstract: The present invention relates to mechanisms for handling and detecting collisions between threads (5, 6, 7) that execute computer program instructions out of program order. According to an embodiment of the present invention each of a plurality of threads (5, 6, 7) are associated with a respective data structure (9, 10, 11) comprising a number of bits (12) that correspond to memory elements (m_0, m_1, m_2, m_n) of a shared memory (4). When a thread accesses a memory element in the shared memory, it sets a bit in its associated data structure, which bit corresponds to the accessed memory element. This indicates that the memory element has been accessed by the thread. Collision detection may be carried out after the thread has finished executing by means of comparing the data structure of the thread with the data structures of other threads on which the thread may depend.

COLLISION HANDLING APPARATUS AND METHOD

FIELD OF THE INVENTION

The present invention relates in general to execution of computer program instructions, and more specifically to thread-based speculative execution of
5 computer program instructions out of program order.

BACKGROUND OF THE INVENTION

The performance of computer processors has been tremendously enhanced over the years. This has been achieved both by means of making operations
10 faster and by means of increasing the parallelism of the processors, i.e. the ability to execute several operations in parallel. Operations can for instance be made faster by means improving transistors to make them switch faster or optimizing the design to minimize the level of logic needed to implement a given function. Techniques for parallelism include processing computer
15 program instructions concurrently in multiple threads. There are programs that are designed to execute in several concurrent threads, but a program that is designed to execute in a single thread can also be executed in several concurrent threads. If the execution of a program in several concurrent threads causes program instructions to be executed in an order that differs
20 from the program order in which the program was designed to execute the thread execution is speculative. The discussion hereinafter focuses on such speculative thread execution.

A computer program that has been designed to be executed in a single thread can be parallelised by dividing the program flow into multiple threads and
25 speculatively executing these threads concurrently usually on multiple processing units. The international parent application WO00/29939 describes techniques that may be used to divide a program into multiple threads.

However, if the threads access a shared memory, collisions between the concurrently executed threads may occur. A collision is a situation in which the threads access the shared memory in such a way that there is no guarantee that the semantics of the original single-threaded program is preserved.

- 5 A collision may occur when two concurrent threads access the same memory element in the shared memory. An example of a collision is when a first thread writes to a memory element and the same memory element has already been read by a second thread which follows the first thread in the program flow of the single-threaded program. If the write operation performed by the first
10 thread changes the data in the memory element, the second thread will read the wrong data, which may give a result of program execution that differs from the result that would have been obtained if the program had been executed in a single thread. Depending on the implementation, collisions can for example also occur when two threads write to the same memory element in the shared
15 memory.

Execution of a computer program in multiple concurrent threads is intended to speed up program execution, without altering the semantics of the program. It is therefore of interest to provide a mechanism for detecting collisions. When a collision has been detected one or more threads can be rolled back in
20 order to make sure that the semantics of the single-threaded program is preserved. A rollback involves restarting a thread at an earlier point in execution, and undoing everything that has been done by the thread after that point. In the example above, in which the older first thread wrote to a memory element that already had been read by the younger second thread, the second
25 thread should be rolled back, at least to the point when the memory element was read, if it is to be guaranteed that the semantics of the single-threaded program is preserved.

A known mechanism for detecting and handling collisions involves keeping track of accesses to memory elements by means of associating two or more flag bits per thread with each memory object. One of these flag bits is used to indicate that the memory object has been read by the thread, and another bit is
5 used to indicate that the memory object has been modified by the thread.

The international patent application WO 00/70450 describes an example of such a known mechanism. Before a primary thread writing to a memory element in a shared memory, status information associated with the memory element is checked to see if a speculative thread has read the memory element.
10 If so, the speculative thread is caused to roll back so that the speculative thread can read the result of the write operation.

A disadvantage of this known mechanism when implemented in software is that it results in a large execution overhead due to the communication and synchronization between the threads that is required for each access to the
15 shared memory. The status information is accessible to several threads and a locking mechanism is therefore required in order to make sure that errors do not occur due to concurrent access to the same status information by two threads. There is also a need for memory barriers (also called memory fences) in order to ensure correct ordering between accesses to the shared memory
20 and accesses to the status information.

Another example of a known mechanism for detecting and handling collisions is described in Steffan J.G. et al., "The Potential for Using Thread-Level Data Speculation to Facilitate Automatic Parallelization", Proceedings of the Fourth International Symposium on High-Performance Computer Architecture,
25 February 1998, and in Oplinger J. et al., "Software and Hardware for Exploiting Speculative Parallelism with a Multiprocessor", Stanford University Computer Systems Lab Technical Report CSL-TR-97-715, February 1997. An extended cache coherency protocol is used to support speculative threads.

The flag bits are, according to this technique, associated with cache lines in a first level cache of each of a plurality of processors. When a thread performs a write operation, a standard cache coherency protocol invalidates the affected cache line in the other processors. By extending the cache coherency protocol to include the thread number in the invalidation request, the other processors can detect read after write dependence violations and perform rollbacks if necessary. A disadvantage of this approach is that speculatively accessed cache lines have to be kept in the first level cache until the speculative thread has been committed, otherwise the extra information associated with each cache line is lost. If the processor runs out of available positions in the first level cache during execution of the speculative thread, the speculative thread has to be rolled back. Another disadvantage is that the method requires modifications to the cache coherency protocol implemented in hardware, and cannot be implemented purely in software using standard microprocessor components.

15 SUMMARY OF THE INVENTION

As mentioned above the known mechanisms for handling and detecting collisions have some disadvantages. The problem solved by the present invention is to provide mechanisms that simplify handling and detection of collisions.

20 A first object of the present invention is to provide a device having simplified mechanisms for recording information regarding memory accesses to a shared memory.

A second object of the present invention is to provide a simplified method for recording information regarding memory accesses to a shared memory.

25 A third object of the present invention is to provide a simplified method for handling possible collisions between a plurality of threads.

The objects of the present invention are achieved by means of an apparatus according to claim 1, by means of a method according to claim 17 and by means of a method according to claim 27. The objects of the invention are further achieved by means of computer program products according to claim 36 and
5 claim 37.

According to the present invention each of a plurality of threads are associated with a respective data structure for storing information regarding accesses to the memory elements of the shared memory. When a thread accesses a selected memory element in the shared memory, information is stored in its associated
10 data structure, which information is indicative of the access to the selected memory element. According to an embodiment of the present invention collision detection is carried out after the thread has finished executing by means of comparing the data structure of the thread with the data structures of other threads on which the thread may depend.

15 An advantage of the present invention is that each thread is associated with a respective data structure that stores the information indicative of the accesses to the shared memory. This is especially advantageous in a software implementation since each thread will only modify the data structure with which it is associated. The threads will read the data structures of other threads, but
20 they will only write to their own associated data structure according to the present invention. The need for locking mechanisms is therefore reduced compared with the known solutions discussed above in which the information indicative of memory accesses were associated with the memory elements of the shared memory and were modified by all the threads. The reduced need for
25 locking mechanisms reduces the execution overhead and makes the implementation simpler. In the software implementation, the absence of locks and memory barriers during thread execution will also give a compiler more freedom to optimize the code.

Another advantage of the present invention is that, since it does not require a modified cache coherency protocol, it can be implemented purely in software, thus making it possible to implement the invention using standard components.

Further advantages of embodiments of the present invention will be apparent from the following detailed description of preferred embodiments with
5 reference to accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic block diagram of a computer system in which the present invention is used.

10 Figs. 2A and 2B are schematic diagrams that illustrate a computer program being executed in a single thread and divided into several threads respectively.

Fig. 3A is schematic block diagram that illustrates how data structures according to the present invention are used.

Fig. 3B is schematic block diagram that illustrates how an alternative
15 embodiment of data structures according to the present invention is used.

Fig. 4 is a flow diagram illustrating how reading from the shared memory may be performed according to the present invention.

Fig. 5 is a flow diagram illustrating how writing to the shared memory may be performed according to the present invention.

20 Fig. 6 is a schematic block diagram that illustrates dependence lists associated with threads according to the present invention.

Fig. 7 is a flow diagram illustrating how a thread may be executed and a collision check for the thread may be made according to the present invention.

25 DETAILED DESCRIPTION OF SPECIFIC EMBODIMENTS

Figure 1 illustrates a computer system 1 including two central processing units (CPUs), first CPU 2 and second CPU 3. The CPUs access a shared memory 4,

divided into a number of memory elements $m_0, m_1, m_2, \dots, m_n$. The memory elements may for instance be equal to a cache line or may alternatively correspond to a variable or an object in a source language. Figure 1 also shows three threads 5, 6, 7 executing on the CPUs 2, 3.

5 A thread can be seen as a portion of computer program code that is defined by two checkpoints, a start point and an end point. Figure 2a shows a schematic illustration of a computer program 8 comprising a number of instructions or operations, i_1, i_2, \dots, i_n . When the computer program is executed as a single thread, the normal way of processing the instructions is in the program order,
10 i.e. from top to bottom in Figure 2A. It is however possible, according to known techniques as mentioned above, to divide the program into multiple threads. The program 8 may for instance be divided into the three threads 5, 6, 7 as indicated in Figure 2A. The threads can be executed concurrently. Figure 2B illustrates an example of a threaded program flow, where the first CPU 2 first
15 processes the thread 5 and then the thread 6, and the second CPU 3 starts processing thread 7 before the threads 5 and 6 have finished executing on the first CPU 2.

Figure 2B shows an example of how the threads 5, 6, 7 may execute. Many other alternative ways of executing the threads are however possible. It is for instance
20 not necessary that the first CPU 2 finishes processing the thread 5 before starting on the thread 6 and the thread 6 may be executed before the thread 5. The first CPU 2 may be a type of processor that is able to switch between several different threads such that the CPU 2 e.g. starts processing the thread 5, leaves the thread 5 before it is finished to process the thread 6 and then returns
25 to the thread 5 again to continue where it left off. Such a processor is sometimes called a Fine Grained Multi-Threading Processor. A Simultaneous Multi-Threading (SMT) Processor is able to process several threads in parallel, so if the CPU 2 is such a processor it is able to process the threads 5, 6 simultaneously.

Thus, it is not necessary to have multiple CPUs in order to process multiple threads concurrently.

Collisions may occur between the threads 5, 6, 7 when the instructions of the computer program 8 are executed out of program order. As mentioned above, a collision is a situation in which the threads access the shared memory 4 in such a way that there is no guarantee that the semantics of the original single-threaded program 8 is preserved. It is therefore of interest to provide mechanisms for detecting and handling collisions that may arise during speculative thread execution.

10 According to the present invention each thread 5, 6, 7 is associated with a data structure 9, 10, 11, which is illustrated schematically in Figure 1. The data structure is used to store information indicative of which memory elements in the shared memory 4 that the respective thread has accessed. According to an embodiment of the present invention each data structure includes a number of
15 bits 12 that correspond to the memory elements in the shared memory. According to the embodiment of the present invention shown in Figure 1 the bits 12 of each data structure 9, 10, 11 are divided into a load vector 9a, 10a, 11a and a store vector 9b, 10b, 11b. For each memory element m_0, m_1, m_2, m_n in the shared memory 4, there is exactly one corresponding bit 12 in the load
20 vector and exactly one corresponding bit 12 in the store vector associated with each thread. When the thread 6 reads from a memory element, it sets the corresponding bit 12 in the load vector 9a to indicate that the memory element has been read. The store vector 9b is updated analogously when the thread 6 writes to the shared memory.

25 There can either be a one-to-one correspondence or a many-to-one correspondence between the memory elements and the bits in the load and store vectors. By having a many-to-one correspondence, the memory overhead is reduced at the cost of spurious collisions, which causes slower execution.

Reducing the memory overhead will however also result in reduced execution overhead, since there will be fewer cache misses. A hash function can be used to map a number of a memory element to a bit position in the load and store vectors.

- 5 Figure 3A illustrates an example of how the data structures 9, 10, 11 are used according to the present invention. In this example the thread 5 has written to the memory elements m1 and m4 and read memory elements m1, m5 and m8. The thread 6 has written to the memory elements m2, m6 and m9 and read the memory elements m2, m6 and m13. The thread 7 has read the memory element
- 10 m12. In this example, there are more memory elements in the shared memory than there are bit positions in the load and store vectors, which means that there is a many-to-one correspondence between the memory elements and the bits in the load and store vectors. In this example the bit position in the load and store vector that corresponds to a selected memory element is found using a hash
- 15 function, which in this example simply calculates the remainder when dividing the number of the memory element by the size of the load and store vectors. This means that when the thread 5 writes to the memory elements m1, it sets the bit in position number 1 in its store vector and when the thread 6 writes to the memory element m9, it sets the bit in position number 1 in its store vector.
- 20 When the threads have performed the write and read operations mentioned above, the bit position numbers that are set will be 0, 1, 5 for the load vector 9a; 1, 4 for the store vector 9b; 2, 5, 6 for the load vector 10a; 1, 2, 6 for the store vector 10b and 4 for the load vector 11a. This is illustrated in Figure 3A by means of filled boxes representing the bits that are set.
- 25 The implementation of the present invention can be simplified by means of the data structures 9, 10, 11 each comprising a single combined load and store vector instead of a separate load vector and a separate store vector. Figure 3B illustrates the same example as described above with reference to Figure 3A.

with the only difference that the data structures 9, 10, 11 each includes a single combined load and store vector 9c, 10c, 11c instead of the load vectors 9a, 10a, 11a and the store vectors 9b, 10b, 11b. The bit positions that are set in the combined load and store vector 9c correspond to a logical bitwise inclusive or operation of the load vector 9a and store vectors 9b shown in Figure 3B.

The embodiment of the present invention wherein the data structures includes a single combined load and store vector results in an increased number of spurious collisions, but on the other hand it also results in a reduced need for memory to store the data structures and a reduced number of operations when checking for collisions, as will be discussed further below.

The embodiments of the present invention shown in Figures 3A and 3B uses a type of data versioning called privatisation, which means that a private copy 14 of a memory element that is to be modified is created for the thread that modifies the element. The thread then modifies the private copy instead of the original memory element in the shared memory. The private copies contain pointers 15 to their corresponding original memory element in the shared memory. The private copies are used to write over the original memory elements in the shared memory 4 when the threads for which they were created are committed. If a thread is rolled back, its associated private copies 14 are discarded. Figure 4 shows a flow diagram illustrating how reading from the shared memory is performed when privatisation is used. Figure 5 shows a corresponding flow diagram for writing to the shared memory.

Figure 4 shows a first step 20, wherein the memory element to be read is marked as read in the load vector. In step 21, it is examined whether or not the thread has a private copy of the memory element to be read. If a private copy exists the data is read from the private copy, step 22. If there is no private copy the data is read from the memory element in the shared memory, step 23.

Figure 5 shows a first step 25, wherein it is examined whether or not the thread has a private copy of the memory element to be written to. If there is no private copy, the memory element to be written to is marked as written in the store vector, step 26, and a private copy is created, step 27. The data is then written to the private copy, step 28. If a private copy is found to exist in step 25, the data can be written to the private copy directly, step 28, without having to make a mark in the store vector or create the private copy.

The privatisation described above is not a prerequisite of the present invention. Another type of data versioning, which may be used instead of privatisation, involves that the threads store backup copies of the memory elements before they modify them. These backup copies are then copied back to the shared memory during a rollback.

The embodiments of the present invention described above comprise data structures in the form of bit vectors for storing information indicative the thread's accesses to the memory. However, many alternative types of data structures for storing this information are possible according to the present invention. The data structures may for instance be implemented as lists to which numbers that correspond to the memory elements are added to indicate accesses the memory elements. Other possible implementations of the data structures include trees, hash tables and other representations of sets.

It will now be discussed how the thread associated data structures of the present invention can be used to check for and detect collisions.

In a software implementation where the thread associated data structures of the present invention are used to check for collisions, a thread that has collided with another thread will itself detect the collision. In the known mechanisms discussed above an older thread would detect if a younger thread has collided and send a message about this so that the younger thread would be rolled back.

This sending of messages takes time and causes an extra delay, which can be avoided by means of the present invention.

According to a preferred embodiment of the present invention collision checks are performed after the thread has finished its execution and is about to be committed. The collision check is made by means of comparing the data structure associated with the thread to be checked with the data structures associated with other threads on which the thread to be checked may depend. In order to keep track of the possible dependencies between threads a dependence list may be created for each thread before it starts executing. This is illustrated in Figure 6, by means of the threads 5, 6, 7 which are associated with dependence lists 16, 17 and 18 respectively. The dependence lists are lists of all older threads that had not yet been committed when the thread was about to start executing. The thread 7 may depend on threads 5 and 6 so its dependence list 18 contains references to threads 5 and 6 to indicate the possible dependency.

The dependence list described above is just an example of how to keep track of possible dependencies between threads. The dependence list is not limited to a list structure but can also be represented as an alternative structure that can store information regarding possible dependencies. It is further not necessary for the dependence list to store a reference to all older not yet committed threads. For example in an implementation where forwarding is used it may be possible to determine that the thread to be started is not dependent on some of the older not yet committed threads and it is then not necessary to store a reference to these threads in the dependence list. In other cases the information stored in the dependence list may refer to an interval of threads of which some already have been committed when the dependence list is created. As long as the dependence list includes a reference to all the threads that the thread to be started depends on there is no harm in the dependence list also including references to some threads that the thread to be started clearly does not depend on.

Figure 7 shows a flow diagram of how a thread may be executed and a collision check for the thread may be made according to the present invention. In a step 30, the dependence list for the thread to be executed is created. The thread is then executed in a step 31. When the thread has finished executing, it waits until
5 the threads that it may depend on have been checked for collisions and are ready to be committed, step 32. It then compares its associated data structure to the data structures associated with the threads in the dependence list to check for collisions, step 33. If no collision is detected, the thread is committed in a step 34, otherwise the thread is rolled back in a step 35. If the thread has collided
10 with another thread, the risk that the thread collides with the same thread again may be reduced by means of delaying the restart of the thread until the thread it collided with has been committed. The system may be arranged to give higher priority to committing threads with which other threads have collided.

When the collision check is performed as described above, even the oldest not
15 yet committed thread is speculative, since it might have collided with an earlier thread that already has been committed and this is not detected until the thread has finished its execution. However, when a thread has become the oldest not yet committed thread, it will have to be rolled back at the most once, since when it is restarted, there is no other thread that it can collide with.

20 Alternatively one or several partial collision checks may be performed during execution, before performing the collision check when the thread has finished executing. The partial collision check can be performed without locking the data structures associated with other threads because it is acceptable that the partial
25 check fails to detect some collisions. Collisions that were not detected in the partial collision check will be detected in the final collision check that is performed after the thread has finished its execution.

The comparison between two data structures to detect collisions is performed differently depending on whether or not the data structures includes separated

load and store vectors or a combined load and store vector. If the data structures have separated load and store vectors the comparison between the load and store vectors of an older and a younger thread can be carried out by means of performing the following logical operations bitwise on the bit vectors:

- 5 old store vector AND (young store vector OR young load vector).

If the resulting vector contains any bits that are set there is a collision and the younger thread should be rolled back. If the data structures have combined load and store vectors the corresponding logical operation to be performed to check for collisions is an AND-operation between the combined vector of the older
10 thread and the combined vector of the younger thread.

In an alternative embodiment the comparison to detect collisions is carried out by means of performing the following logical operation bitwise on the bit vectors:

old store vector AND young load vector.

- 15 This comparison assumes that the threads are committed in program order and that when a write operation that only modifies part of a memory element (which corresponds to a read-modify-write operation) is carried out the corresponding bit in both the load and the store vector is set.

An advantage of the collision check of the present invention is that since
20 collisions do not have to be detected until the thread has finished executing, there is no need for any locking mechanism or memory barriers during execution. This reduces the execution overhead and makes the implementation simpler. Another reason why the execution overhead can be reduced according to the present invention is that if the collision check is only performed when the
25 thread has finished executing, at most one check will have to be made for each accessed memory element, even if the element has been accessed many times

during execution. In the known mechanisms discussed above a collision check was performed in connection with each access to the shared memory.

The cost of handling collisions according to the present invention is that collisions are not detected as early as possible, which results in some wasted data processing of threads that already have collided and should be rolled back.
5 However, the gain in execution overhead will in many cases surpass the cost of not detecting collisions immediately. The collision check of the present invention described above is thus particularly favorable when collisions are rare.

According to the present invention, the only thing that has to be performed in
10 the same order as in the original single-threaded program is the collision check. Threads can be executed and rolled back out of program order and depending on the implementation sometimes also committed out of program order.

If the many-to-one correspondence between the memory elements and the bits in the load and store vectors is used, the load and store vectors can have a fixed
15 size. The memory overhead is then proportional to the number of threads instead of the number of memory elements, which means that the amount of memory needed to store the data structures will remain the same when the number of memory elements in the shared memory increases.

The present invention can be implemented both in hardware and in software. In
20 a hardware implementation it is possible to use a fast fixed-size memory inside each processor to store the data structures. In a software implementation a speed advantage will be obtained if the data structures are made small enough to be stored in the first level cache of the processor. Due to the frequent use of the data structures it will be advantageous to store them in as fast memory as
25 possible.

The data structure associated with a thread will naturally only have to be stored in memory until the thread with which it is associated and all threads that may

depend on the thread are committed. Once the thread and all threads that may depend on it are committed the memory used to store its associated data structure can be reused.

5 The present invention is not limited to any particular type of memory elements of a shared memory. The present invention is applicable to both logical and physical memory elements. Logical memory elements are for example variables, vectors, structures and objects in an object oriented language. Physical memory elements are for example bytes, words, cache lines, memory pages and memory segments.

10 As described above a thread comprises a number of program instructions. Other terms for a series of instructions that are sometimes used in the field. An example of such a term is job.

Thread-level speculative execution with a shared memory has many similarities to a database transaction system. The entries of a database can be compared
15 with the elements of a shared memory and since a database transaction includes a number of operations, a database transaction can be compared with a thread. One way to ensure that a database remains consistent is to check for collisions between different database transactions. Thus the principles of the ideas of the present invention may be used also in this field.

20 It is to be understood that the embodiments of the present invention discussed above and illustrated in the figures, merely serves as examples to illustrate the ideas of the present invention and that the invention in no way is limited to just the examples described. The examples are for instance simple examples that only illustrate a few memory elements in the shared memory and a few bits in
25 the data structures associated with the threads. In reality the number of memory elements and bits can be very large. The present invention is further not limited to any particular number of threads or CPUs.

CLAIMS

1. An apparatus that supports execution of computer program instructions speculatively out of program order comprising:

- a plurality of threads for executing computer program instructions, and
- 5 - a shared memory, which comprises a number of memory elements accessible to the plurality of threads;

wherein each of the threads are associated with a data structure for storing information regarding accesses to the memory elements of the shared memory and wherein each of the threads has means for accessing a selected memory
10 element in the shared memory and means for storing information in the associated data structure indicative of the access to the selected memory element.

2. The apparatus according to claim 1, wherein the data structures are one of the
15 following types of structures: an unsorted list, a sorted list, a tree and a table.

3. The apparatus according to claim 1, wherein each data structure comprises a number of bits that correspond to the memory elements of the shared memory and wherein the means for storing information are means for setting at least one
20 chosen bit, which at least one chosen bit corresponds to the selected memory element.

4. The apparatus according to claim 3, wherein the data structure comprises a load vector and a store vector, wherein the means for setting at least one chosen
25 bit is arranged to set a bit in the load vector when the first thread accesses the selected memory element in order to read it, and wherein the means for setting at least one chosen bit is arranged to set a bit in the store vector when the first thread accesses the selected memory element in order to write to it.

5. The apparatus according to claim 3, wherein the data structure comprises a single combined load and store vector.
6. The apparatus according to claim 4 or 5, wherein there is a one-to-one
5 correspondence between the memory elements in the shared memory and the bits in the or each vector of the data structure.
7. The apparatus according to claim 4 or 5, wherein there is a many-to-one
10 correspondence between the memory elements in the shared memory and the bits in the or each vector of the data structure.
8. The apparatus according to claim 7, wherein the correspondence between the bits in the or each vector and the memory elements is determined by a hash function that maps the memory elements to the bits in the or each vector.
- 15 9. The apparatus according to any of claims 1-8, wherein the apparatus further comprises means for checking whether a thread has a private copy of the selected memory object, means for creating a private copy of the selected memory object and means for reading and writing to a private copy of the
20 selected memory object.
10. The apparatus according to any of claims 1-8, wherein the apparatus further comprises means for storing a backup copy of the selected memory element.
- 25 11. The apparatus according to any of claims 1-10, wherein the apparatus further comprises means for checking, when a first thread has finished execution, if each of the threads on which the first thread may depend is ready to be committed and means for checking for collision between the first thread and each of the threads on which the first thread may depend, which means for

checking comprises means for comparing the data structure associated with the first thread with each respective data structure associated with the threads on which the first thread may depend.

- 5 12. The apparatus according to claim 11, wherein the apparatus further comprises means for creating a dependence list associated with the first thread before execution of the first thread, which dependence list includes a reference to each thread which has not yet been committed and which comes before the first thread in program order.

10

13. The apparatus according to claim 11 or 12, wherein the apparatus further comprises means for committing the first thread if no collision is detected between the first thread and any of the threads on which the first thread may depend and means for restarting execution of the first thread if a collision is
15 detected between the first thread and any of the threads on which the first thread may depend.

14. The apparatus according to claim 13, wherein the apparatus further comprises means for delaying a restart of execution of the first thread until the
20 thread or each of the threads with which the first thread has collided has been committed.

15. The apparatus according to claim 14, wherein the apparatus further comprises means for giving priority to committing and/or executing the thread
25 or each of the threads with which the first thread has collided.

16. The apparatus according to any of claims 11-15, wherein the apparatus further comprises means for performing a partial check for collisions between the first thread and at least one of the threads on which the first thread may

depend, which means for performing a partial check comprises means for comparing the data structure associated with the first thread with the respective data structure associated with the at least one of the threads on which the first thread may depend.

5

17. A method for recording information regarding accesses to a shared memory, which shared memory is accessible to a plurality of threads that are arranged to execute computer program instructions speculatively out of program order, which method includes the steps of:

- 10 - a first of the plurality of threads accessing a selected memory element in the shared memory, and
- the first thread storing information indicative of the access to the selected memory element in a data structure associated with the first thread.

15 18. The method according to claim 17, wherein the data structure is one of the following types of structures: an unsorted list, a sorted list, a tree and a table.

19. The method according to claim 17, wherein each data structure comprises a number of bits that correspond to the memory elements of the shared memory
20 and wherein the step of storing information comprises setting a chosen bit in the data structure, which chosen bit corresponds to the selected memory element.

20. The method according to claim 19, wherein the data structure comprises a load vector and a store vector, wherein the chosen bit is a bit in the load vector
25 if the first thread accesses the selected memory element in order to read it, and wherein the chosen bit is a bit in the store vector if the first thread accesses the selected memory element in order to write to it.

21. The method according to claim 19, wherein the data structure comprises a single combined load and store vector.
22. The method according to claim 20 or 21, wherein there is a one-to-one
5 correspondence between the memory elements in the shared memory and the bits in the or each vector of the data structure.
23. The method according to claim 20 or 21, wherein there is a many-to-one
10 correspondence between the memory elements in the shared memory and the bits in the or each vector of the data structure.
24. The method according to claim 23, wherein the correspondence between the bits in the or each vector and the memory elements is determined by means of mapping the memory elements to the bits in the or each vector using a hash
15 function.
25. The method according to any of claims 17-24, comprising the further steps of:
- the first thread checking whether it has a private copy of the selected memory
20 object;
 - if the first thread has a private copy and the first thread accesses the selected memory element in order to read it, the first thread reading from the private copy;
 - if the first thread does not have a private copy and the first thread accesses
25 the selected memory element in order to read it, the first thread reading from the selected memory element in the shared memory;
 - if the first thread has a private copy and the first thread accesses the selected memory element in order to write to it, the first thread writing to the private copy; and

- if the first thread does not have a private copy and the first thread accesses the selected memory element in order to write to it, the first thread creating a private copy of the selected memory and writing to the private copy.

5 26. The method according to any of claims 17-24, comprising the further steps of, if the first thread accesses the selected memory element in order to write to it, the first thread storing a backup copy of the selected memory element and the first thread writing to the selected memory element in the shared memory after the backup copy is stored.

10

27. A method for handling possible collisions between a plurality of threads, which threads are arranged to execute computer program instructions speculatively out of program order and to access memory elements of a shared memory, which method includes the steps of:

15 executing a first thread;

checking, when the first thread has finished execution, if each of the threads on which the first thread may depend is ready to be committed;

waiting until each of the threads on which the first thread may depend is ready to be committed, if each of the threads on which the first thread may depend is

20 not ready to be committed; and

checking for collision between the first thread and each of the threads on which the first thread may depend by means of comparing a data structure associated with the first thread with a data structure associated with the thread on which the first thread may depend, which data structures stores information regarding

25 which of the memory elements the thread with which the data structure is associated has accessed during execution of the thread.

28. The method according to claim 27, wherein each data structure comprises a number of bits that correspond to the memory elements of the shared memory

and wherein a bit is set if the memory element to which the bit corresponds has been accessed by the thread with which the data structure is associated during execution of the thread.

- 5 29. The method according to claim 28, wherein each data structure comprises a load vector and a store vector, wherein a bit in the load vector is set if the memory object to which the bit corresponds has been read by the thread with which the data structure is associated during execution of the thread and wherein a bit in the store vector is set if the memory object to which the bit
10 corresponds has been written to by the thread with which the data structure is associated during execution of the thread.

30. The method according to claim 28, wherein each data structure comprises a single combined load and store vector.

15

31. The method according to any of claims 27-30, wherein the method further comprises the step of creating a dependence list associated with the first thread before execution of the first thread, which dependence list includes a reference to each thread which has not yet been committed and which comes before the
20 first thread in program order.

32. The method according to any of claims 27-31, wherein the first thread is committed if no collision is detected and wherein the execution of the first thread is restarted if a collision is detected.

25

33. The method according to claim 32, wherein the restart of execution of the first thread is delayed until the thread or each of the threads with which the first thread collided has been committed.

34. The method according to claim 33, wherein priority is given to committing and/or executing the thread or each of the threads with which the first thread collided.

5 35. The method according to any of claims 27-34, comprising the further step of performing a partial check for collisions between the first thread and at least one of the threads on which the first thread may depend by means of comparing the data structure associated with the first thread with the respective data structure associated with the at least one of the threads on which the first thread may
10 depend, wherein no locking of the data structures take place while the partial check is performed.

36. A computer program product comprising computer code means for performing the method of any of claims 17-26 when run on a computer.

15

37. A computer program product comprising computer code means for performing the method of any of claims 27-35 when run on a computer.

1/4

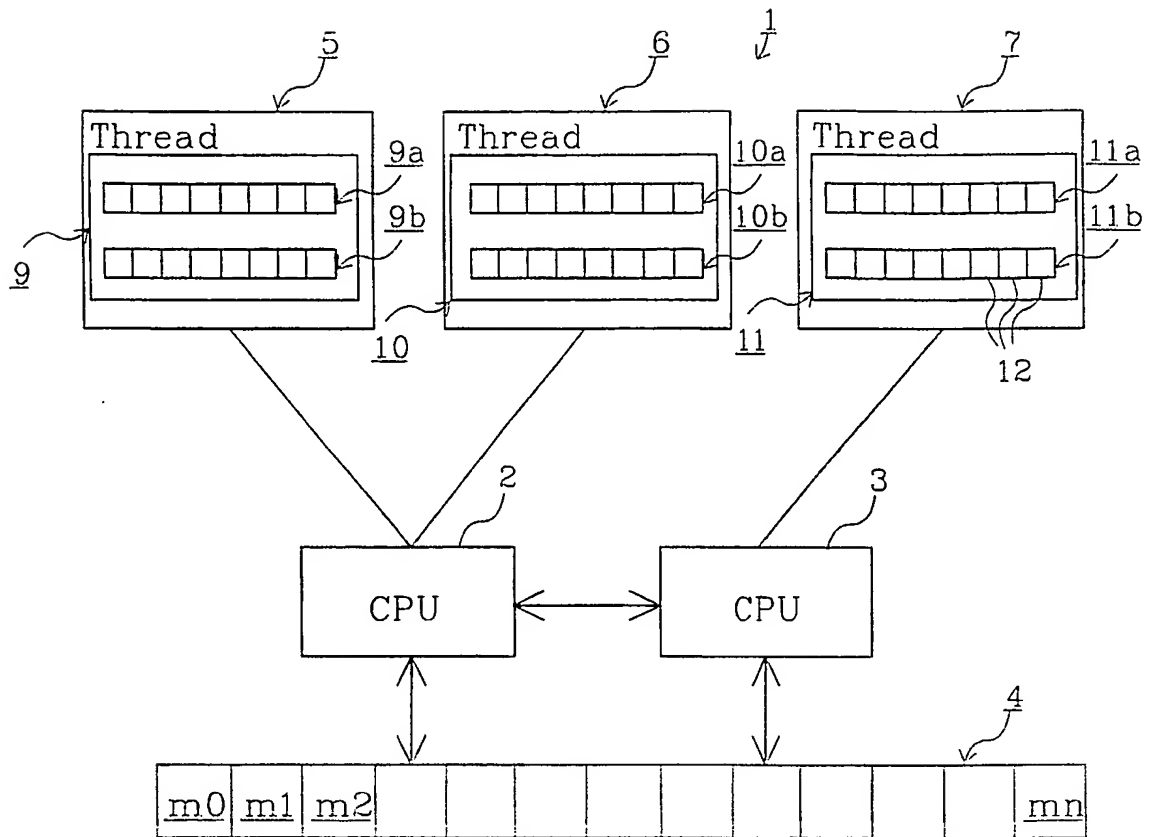


Fig. 1

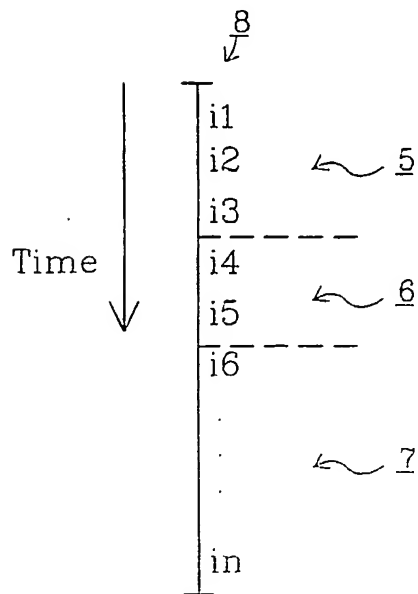


Fig. 2A

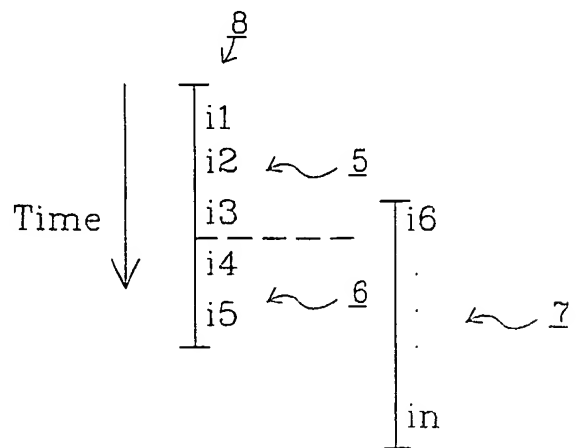


Fig. 2B

2/4

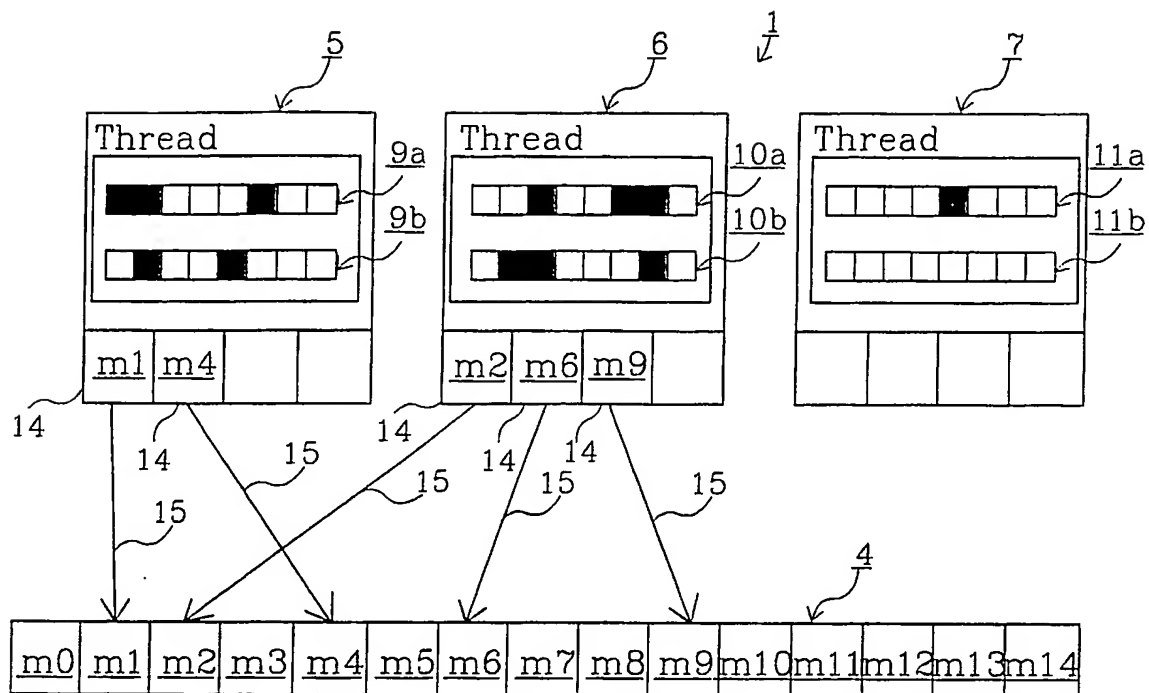


Fig. 3A

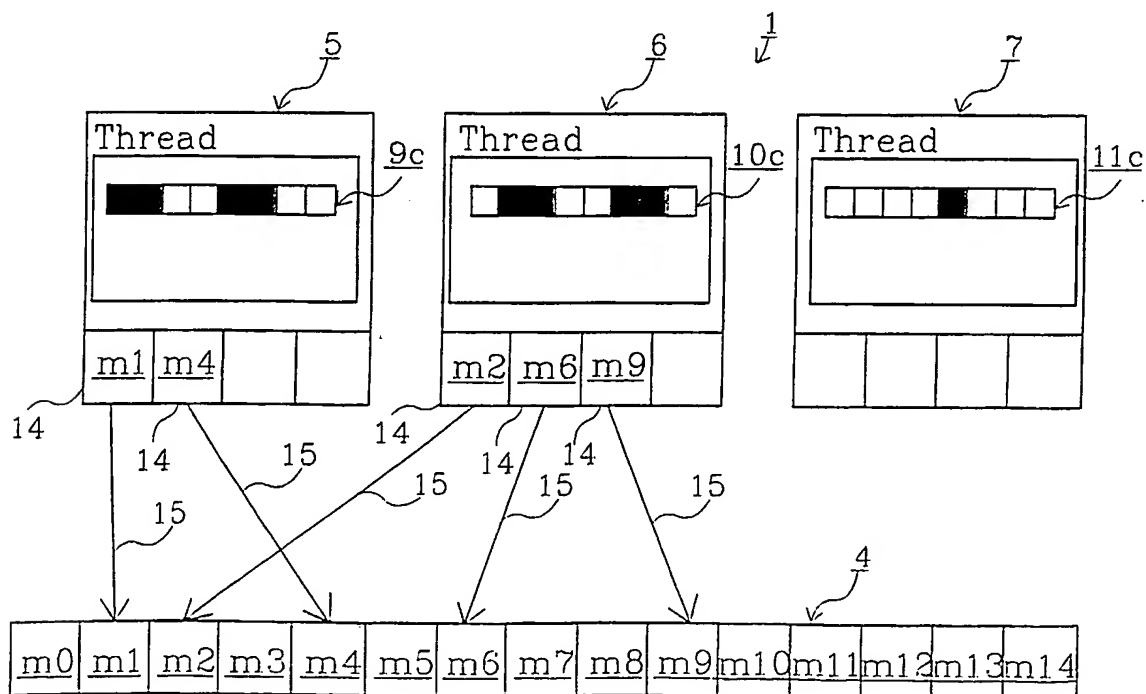


Fig. 3B

3/4

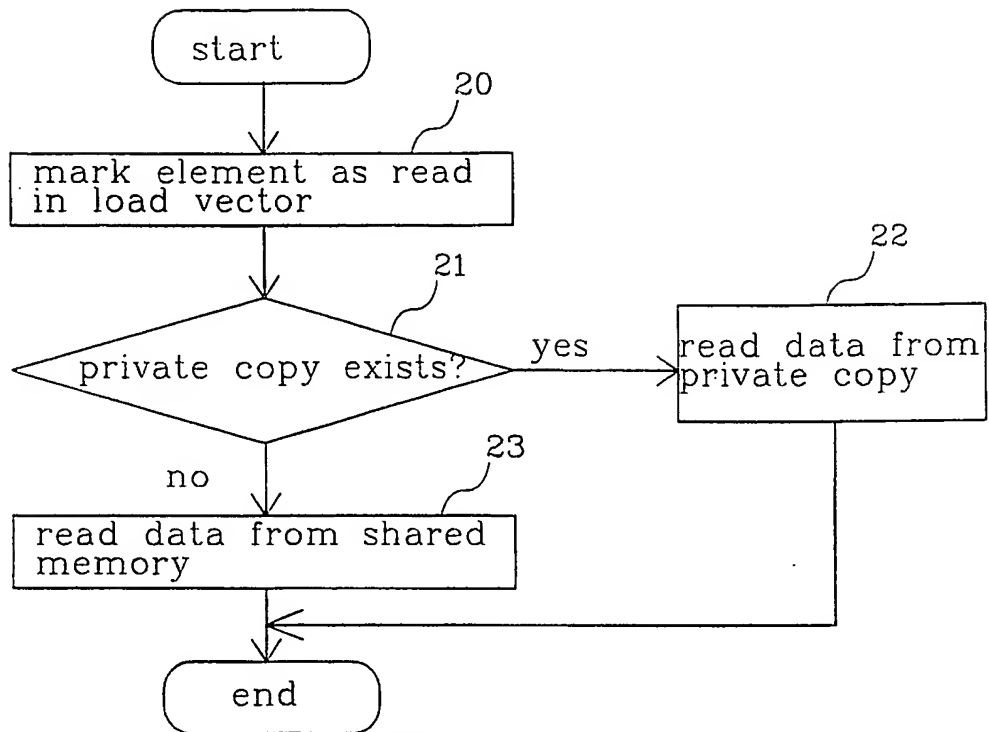


Fig. 4

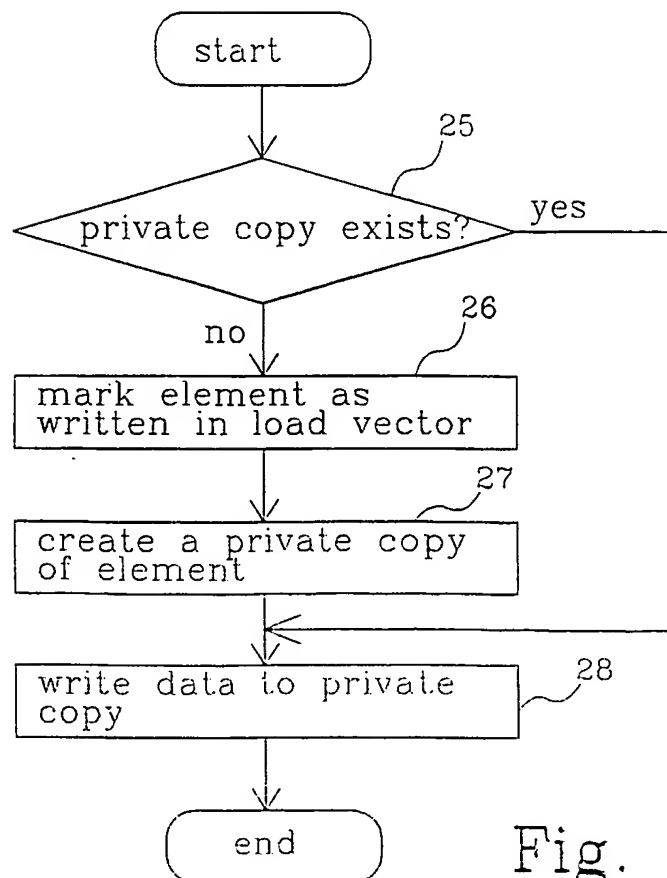


Fig. 5

4/4

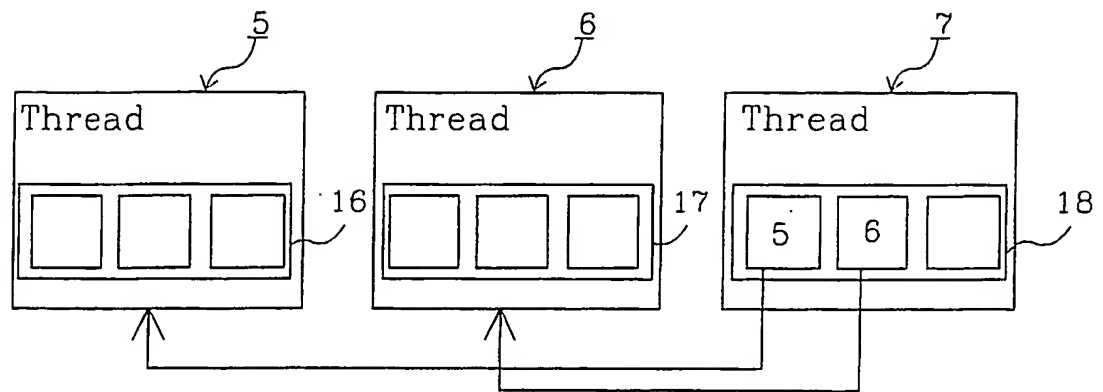


Fig. 6

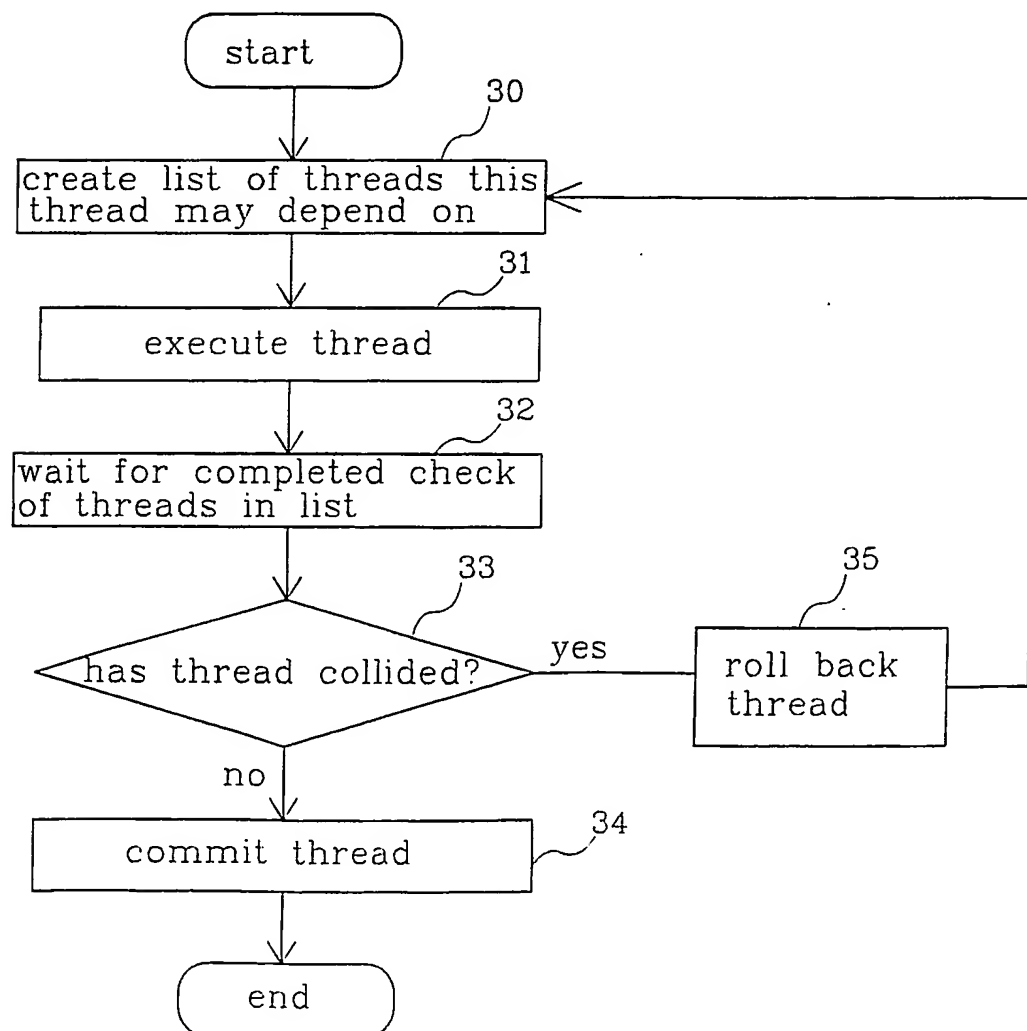


Fig. 7

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: G06F 9/38, G06F 12/02

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 0193028 A2 (SUN MICROSYSTEMS, INC.), 6 December 2001 (06.12.01), page 8, line 3 - line 26, claims 1-11, abstract	1-10,17-26, 36
A	--	11-16,27-35, 37
Y	DATABASE WPI Week 200124 Derwent Publications Ltd., London, GB; Class T01, AN 2001-230792 & JP 2001 03 4489 A (HITACHI LTD), 9 February 2001 (2001-02-09) abstract, claims 1-5	1-10,17-26, 36
A	--	11-16,27-35, 37

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

14 August 2002

Date of mailing of the international search report

16-08-2002

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Roger Bou Faisal/LR

Telephone No. +46 8 782 25 00

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP 0969379 A2 (SUN MICROSYSTEMS, INC), 1 May 2000 (01.05.00), claims 1-6,22-29, abstract	1-10,17-26, 36
A	--	11-16,27-35, 37
A	US 2001030647 A1 (SOWIRAZ, H. ET AL.), 18 October 2001 (18.10.01), claims 1-37, abstract	1-37
E	US 2002026878 A1 (FLORES, J.L.), 7 February 2002 (07.02.02), see whole document	1-37
A	WO 0070450 A1 (SUN MICROSYSTEMS, INC), 23 November 2000 (23.11.00), claims 1-26, abstract, cited in Application	1-37
A	WO 0029939 A1 (TELEFONAKTIEBOLAGET LM ERICSSON), 25 May 2000 (25.05.00), claims 1-23, abstract, cited in Application	1-37

INTERNATIONAL SEARCH REPORT
Information on patent family members

06/07/02

International application No.
PCT/SE 01/02741

Patent document cited in search report				Publication date		Patent family member(s)		Publication date	
WO	0193028	A2	06/12/01	AU	6972701	A		11/12/01	
				US	2002095665	A		18/07/02	

EP	0969379	A2	01/05/00	AU	6282699	A		05/06/00	
				CN	1248742	A		29/03/00	
				EP	1147164	A		24/10/01	
				JP	2000222281	A		11/08/00	
				NO	20012353	A		14/05/01	
				US	6150575	A		21/11/00	
				US	6209066	B		27/03/01	
				WO	0029517	A		25/05/00	

US	2001030647	A1	18/10/01	US	2002060678	A		23/05/02	
				US	2002063704	A		30/05/02	
				US	2002063713	A		30/05/02	
				US	2002089508	A		11/07/02	
				AU	7831500	A		24/04/01	
				EP	1224622	A		24/07/02	
				WO	0122370	A		29/03/01	

US	2002026878	A1	07/02/02	EP	1186959	A		13/03/02	
				JP	2002158168	A		31/05/02	

WO	0070450	A1	23/11/00	EP	1188114	A		20/03/02	
				EP	1190309	A		27/03/02	
				EP	1190310	A		27/03/02	
				US	6353881	B		05/03/02	
				WO	0070451	A		23/11/00	
				WO	0070452	A		23/11/00	

INTERNATIONAL SEARCH REPORT
Information on patent family members

06/07/02

International application No.

PCT/SE 01/02741

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
WO	0029939	A1	25/05/00	AU	1436900 A	05/06/00
				AU	1437000 A	05/06/00
				AU	1437100 A	05/06/00
				AU	1437200 A	05/06/00
				AU	1437300 A	05/06/00
				AU	1437400 A	05/06/00
				BR	9915363 A	31/07/01
				BR	9915366 A	31/07/01
				BR	9915369 A	14/08/01
				BR	9915383 A	07/08/01
				BR	9915385 A	31/07/01
				CN	1326567 T	12/12/01
				EP	1131701 A	12/09/01
				EP	1131702 A	12/09/01
				EP	1131703 A	12/09/01
				EP	1131704 A	12/09/01
				EP	1131739 A	12/09/01
				EP	1133725 A	19/09/01
				SE	9803901 D	00/00/00
				SE	9901145 D	00/00/00
				SE	9901146 D	00/00/00
				SE	9902373 D	00/00/00
				WO	0029940 A	25/05/00
				WO	0029941 A	25/05/00
				WO	0029942 A	25/05/00
				WO	0029943 A	25/05/00
				WO	0029968 A	25/05/00